

REV. 04/03
For A Small Entity

Docket No. EES-2

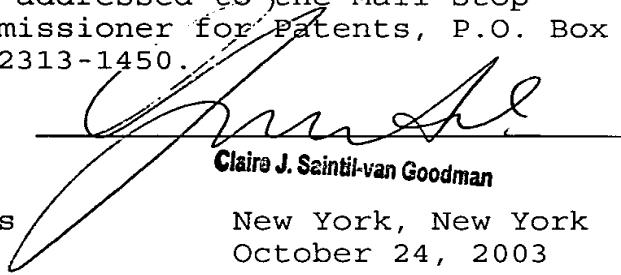
Applicants: Raymond T. Westfall and Howard P. Groger
For : APPARATUS AND METHODS FOR
MODULATING REFRACTIVE INDEX

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV132187976US.

Date of Deposit October 24, 2003.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Mail Stop Patent Application, Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.


Claire J. Saintil-van Goodman

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

New York, New York
October 24, 2003

TRANSMITTAL LETTER FOR UNEXECUTED
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the
[X] specification; [X] claims; [X] abstract; [X] unexecuted
declaration, for the above-identified patent application.

Also transmitted herewith are:

[X] 3 sheets of:

[] Formal drawings.

[X] Informal drawings. Formal drawings will be filed
during the pendency of this application.

22387 U.S. PTO
10/692522



☐ Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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from which priority is claimed.

☐ An assignment of the invention to _____.

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

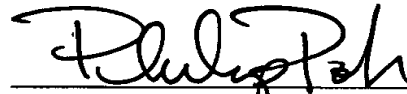
☐ An associate power of attorney.

Small entity status is respectfully requested.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED		NUMBER EXTRA		RATE		FEE
<hr/>							
BASIC FEE							\$ 385.00
<hr/>							
TOTAL CLAIMS	100	- 20 =	80	X	\$ 9 =		\$ 720.00
<hr/>							
INDEPENDENT CLAIMS	19	- 3 =	16	X	\$43 =		\$ 688.00
<hr/>							
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS					+ \$145 =		\$
<hr/>							
TOTAL							<u>\$1793.00</u>

- [X] A check in the amount of \$1793.00 in payment of the filing fee is transmitted herewith.
- [] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



Philip R. Poh
Registration No. 51,176
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Raymond T. Westfall and Howard P.
Groger

Filed : Concurrently Herewith

For : APPARATUS AND METHODS FOR MODULATING
REFRACTIVE INDEX

Hon. Commissioner for Patents New York, New York 10020
P.O. Box 1450 October 24, 2003
Alexandria, Virginia 22313-1450

NONPUBLICATION REQUEST
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby request that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,



Philip R. Boh
Reg. No. 51,176
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000
Fax: (212) 596-9090